

PEI Quarterly 4 Workplan: 2009

Project ID: 00064088 (PEF) / 00071859 (TRAC)

Project Name: Poverty Environment Initiative (PEI)
Output 2

Y1

EXPECTED CP OUTPUTS and indicators including baseline and annual target	Key Activities (List all the activities to be undertaken during the year towards stated output)	TIMEFRAME			RESPONSIBLE PARTY	PLANNED BUDGET			
		Oct	Nov	Dec		Source of Funds	Budget Description	Amounts-USD Q4 2009	
Output 2 of PEI Framework: Capacities of national and provincial authorities to plan and manage investments for poverty reduction and sound environmental management increased									
Output 2.1: National level capacities strengthened to manage investment processes in a manner that minimized negative environmental impacts and maximizes social benefits									
Activity Result 2.1. Strengthen capacity of national officials to minimize social and environment impacts through improved investment management									
2.1 Targets 1. Comprehensive understanding of the investment process at the national level, encompassing stakeholders involved, institutional arrangements and capacity needs 2. Investment process significantly strengthened through key policy documents, that include an outline of a national investment strategy and one model contract for agriculture related investments 3. Key implementing Partners investment management capacity strengthened	Action 2.1.1. Assess national level investment process, involving: - review investment process and MPI's role - undertake a capacity needs assessment of Investment Promotion Department - review project AWP & RRF accordingly	X		X	UNDP	PEF	71200 International Consultant (short-term)	15,000	
	Action 2.1.2. Support the development of the implementing decree of the new investment Law, through a national level workshop / consultations that assess social (including gender) and environmental impacts of investments, coordinated closely with World Bank support		X		X	UNDP	PEF	71200 International Consultant (short-term)	6,250
					X	IDP	TRAC/00012	73100 Workshop (consultations)	4,000
2.1. Indicators 1. Technical report outlining national level investment processes and associated capacity needs 2. Model investment contract for concessions preparation of technical bids	Action 2.1.3. Support the development of a national investment strategy with information on social and environmental impacts and appropriate policy and fiscal measures (including tax incentives), involving:				UNDP	TRAC/00012	71100 International TA (full time advisor)	-	
					IPD	TRAC/00012	73100 Workshop	-	

4. Model investment with environmental and social impacts developed

3. At least 10 government staff with enhanced knowledge of investment management to minimize negative environmental impacts and maximize positive social impacts

2.1 Baselines:

1. Detailed technical report outlining investment processes and capacity needs not yet elaborated
2. Investment policy documents strengthened through the integration of environmental and social considerations
3. Limited training on environment and social implications of investments

					IPD	TRAC/00012	71600	Travel and DSA	-
					IPD	PEF	74200	Printing (including communication material)	-
		X			IPD	TRAC/00012	71600	Travel and DSA (consultations - environment and social impact aspects of new investment regulations)	4,000
		X			IPD	TRAC/00012	73100	Workshop	6,000
	X				UNDP	PEF	71400	Outsourcing TA advisor	11,500
	X				UNDP	PEF	71400	Outsourcing (Printing)	10,000
					IPD	PEF	72300	Maps and materials	1,000
	X				IPD	PEF	74500	Miscellaneous - office support for investment Promotion Department	2,500
		X			IPD	PEF	73100	IPD, WREA, ESIA workshop	7,000
		X			UNDP	ISP	74200	Training Materials and printing (*)	6500*
					IPD	PEF	73100	Workshop	-
<p>Action 2.1.4. Support the preparation and implementation of model contracts for different types of agriculture-related investments that incorporate best practices for monitoring and evaluation, environmental and social obligations, and which can be adapted to the provincial context (activity to be undertaken together with MAF, WREA, NLMA, MEM)</p> <p>Action 2.1.5. Develop and disseminate policy, technical briefs and guidance that apply existing analysis and research on the environmental and social costs and benefits - of which at least one includes a specific gender analysis - of investment choices (outsourced)</p> <p>Action 2.1.6. Undertake one training event for key government staff at the national level to support better informed investment management through the use of integrated spatial planning (ISP) resources in accordance with the Investment Law including (i) raising awareness of existing spatial planning resources available; (i) interpreting land use suitability maps and other spatial planning resources; and (ii) managing investment decisions through spatial planning (drawing on existing WREA, NLMA and other concessions databases)</p> <p>Action 2.1.7. Establish mechanisms for coordination with WREA, MAF and other line ministries in the implementation of the new ESIA decree and Investment Law</p>									

Action 2.1.8. Document and promote good practices in the private sector related to minimizing social and environmental impacts i.e. corporate social responsibility through relevant fora such as Lao Business Forum, together with MIC, Lao Chamber of Commerce, and other development partners	X	X	IPD	PEF	73100	Workshops on CSR, etc.	5,000	
	X	X	IPD	PEF	74200	Training Materials and printing	5,000	
Activity 2.1.9. Support consultative process and technical analysis of possible options publicly accessible online database in Lao and English of concessions in Lao PDR (together with WREA, NLMA and MAF)	X	X	UNDP	PEF	71100	International Consultant (Short-term)	11,250	
	X	X	IPD	PEF	71300	National consultant (full-time)	4,000	
Activity 2.1.9. Support consultative process and technical analysis of possible options publicly accessible online database in Lao and English of concessions in Lao PDR (together with WREA, NLMA and MAF)			IPD	PEF	73100	Consultation workshops	-	
			IPD	TRAC/00012	71200	International TA (short-term)	-	
	X		IPD	PEF	72800	IT equipment	5,000	
	X	X	IPD	TRAC/00012	72200	Office equipment and furniture	2,000	
	X	X	UNDP	TRAC/00012	72200	Vehicle	23,000	
Sub-total Activity Result 2.1							121,500	
To be transferred to the project							49,500	
To be implemented by UNDP							77,000	
By funding sources 2.1:								
PEF							83,500	
TRAC							39,000	
ISP								
Output 2.2: Provincial level institutional capacities strengthened for sound investment management								
Activity Result 2.2. Strengthen institutions and processes to minimize social and environmental impacts of investment in selected provinces								
2.2. Targets 1. Comprehensive understanding of the investment process at the level of two provinces (Saravanne and Savannakhet), encompassing stakeholders involved, institutional arrangements and capacity needs 2. Institutional strengthening plan for sound investment management	Action 2.2.1. Discuss investment management at provincial level in Saravane or Savannakhet based on the review of existing institutions, processes and incentives related to investment management.	X	X	UNDP	TRAC/00012	71200	International Consultant (short-term)	10,000
		X	X	IPD	PEF	71600	Travel and DSA	5,000
2.2 Indicators	Action 2.2.2. Strengthen coordination mechanisms on investment at the Provincial level in two provinces to	X	X	IPD	PEF	73100	Consultation workshops (provinces)	5,000
		X	X	IPD	TRAC/00012	73100	Consultation workshops	-

Output 2.4: Monitoring and enforcement of investment compliance

Activity result 2.4. Strengthen provincial level authorities capacity to monitor and enforce investment compliance							
2.4. Targets		X	X	UNDP	TRAC/00012	71200 International Consultant	9,750
1. Key IPs have significantly increased awareness of monitoring and enforcement requirements and associated capacity needs	Action 2.4.1. Undertake an assessment of monitoring and enforcement requirements at provincial and district levels for investment contracts in relevant sectors, including an analysis of technical capacity needs (in collaboration with key development partners and with activity 2.3); and review AWP accordingly	X	X	IPD	TRAC/00012	71600 Travel and DSA	3,000
2.4 Indicators: 1. One detailed report outlining monitoring and enforcement requirements and provincial and district level technical capacities and associated capacity needs 2. Enhanced technical knowledge of at least 20 provincial level authorities about monitoring and enforcing investment requirements	Action 2.4.2. In conjunction with key line agencies, initiate the development of a coordinated monitoring and enforcement plan for the two southern provinces in Saravanne and Savannakhet Provinces	X	X	IPD	PEF	73100 Workshop 74200 Printing materials	- -
2.4. Baselines: 1. Few investments effectively monitored and enforced in pilot provinces	2.4.3. Elaborate baselines of investments and environmental impacts in the two southern provinces (outsourced)	X	X	UNDP	TRAC/00012	71600 Travel and DSA 71400 Outsourcing	4,000 31,800

Sub-total Activity Result 2.4							53,830
To be transferred to the project							7,000
To be implemented by UNDP							46,830
By funding sources 2.4:							
PEF							31,800
TRAC							21,750
ISP							

Output 2.5: Community consultation in investment management

Activity Result 2.5. Strengthen role of community consultation (at district-kumbar-village level) to inform investment decisions, management and monitoring

2.5 Targets: 1. Appropriate mechanisms for strengthening community consultation to inform investment decisions, management and monitoring (institutional and informing) identified, documented, and piloted	Action 2.5.1. Facilitate the development of mechanisms for local people (both men and women) to provide input to decision making processes on proposed investments, together with the Lao Front, Lao Women's Union, Provincial National Assembly Offices, and other relevant institutions in Saravanne and Savannakhet provinces						International TA (full-time)	-
2.5 Indicators: 1. At least one province pilots community consultation mechanisms to inform investment decisions 2.5 Baselines: 1. No effective mechanism for consistent local		UNDP	TRAC/00012	71100			National Consultant (short-term)	-
		IPD	TRAC/00012	71300			Travel and DSA (workshops in 2 provinces) and Field based training	-
		IPD	TRAC/00012	73400			Vehicle running costs	-
By funding sources 2.5.	Sub-total Activity Result 2.5							